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PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/799,503 Application Number **TRANSMITTAL** 3/11/2004 Filing Date Gao, Yang **FORM** First Named Inventor Unknown (to be used for all correspondence after initial filing) **Examiner Name** 2641 Art Unit Total Number of Pages in This Submission Attorney Docket Number 0160113 **ENCLOSURES** (check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to Group Amendment / Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request identify below): 1. Form PTO-1449 **Express Abandonment Request** Request for Refund 2. Postcard Information Disclosure Statement CD, Number of CD(s) Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Farshad Farjami, Esq., Reg. No. 41,014 Individual name Farjandi & Farjandi LLP Signature Date November 2004 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Type or printed name Signature November / 7, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Gao, Yang

Serial No.: 10/799,503

Filed: March 11, 2004

For: Voicing Index Controls for CELP Speech

Coding

Art Unit: 2641

Examiner: Unknown

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir or Madam:

In this Information Disclosure Statement and pursuant to 37 C.F.R. §§ 1.56 and 1.97, Applicant hereby discloses to the Patent Office patents, publications or other information of which Applicant is aware. A list of U.S. published patent application number(s) is included in Form 1449 submitted herewith.

The items identified in this Information Disclosure Statement may or may not be "material" as defined in 37 C.F.R. § 1.56, and the submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to is material or considered to be material (37 C.F.R. § 1.97(h)), or even qualifies as "prior art" under 35 U.S.C. § 102 with respect to the present invention unless specifically designated by

Attorney Docket No.: 0160113

Applicant as such. Identification of any reference or patent herein is not an admission, nor is it to be construed as an admission, that it was invented prior to the invention disclosed herein.

The filing of this Information Disclosure Statement is not to be construed to mean that a search has been made or that no other material information, as defined in 37 C.F.R. § 1.56, exists.

In accordance with 37 C.F.R. § 1.97(b) no fee is believed due at this time since the Information Disclosure Statement is being filed before the mailing date of the first Office action on the merits.

The Commissioner is hereby authorized to charge or credit Deposit Account Number 50-1867 for any deficiency or overpayment in the fees required for the filing of this Information Disclosure Statement, for which purpose a duplicate copy of this paper is also included.

Respectfully submitted, Farjami & Farjami LLP

Farshad Farjami Reg. No. 41,014

Attorney for Applicants

Dated

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY.	DOCKET NO.
016011	3

SERIAL NO. 10/799,503

APPLICANTS:

Gao, Yang

FILING DATE: March 11, 2004 GROUP ART: 2641

	U.S. PATENT DOCUMENTS						
Exam. Initials		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	6,173,257	1/9/2001	Yang Gao			
X-	AB						
	AC						
	AD						

		FORE	IGN PATENT	DOCUMENT		1	r	
Exam, Initials		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRANS	
	ВА							
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